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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/058,734	01/30/2002	Yves Audebert	L741.02101	5700

7590 04/07/2006

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EXAMINER

PERUNGAVOOR, VENKATANARAY

ART UNIT PAPER NUMBER

2132

DATE MAILED: 04/07/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

**Office Action Summary**

Application No.

10/058,734

Applicant(s)

AUDEBERT ET AL.

Examiner

Venkatanarayanan Perungavoor

Art Unit

2132

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 15 February 2006.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-24 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-24 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

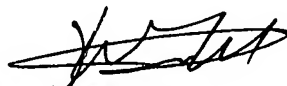
**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

  
KAMBIZ ZAND  
PRIMARY EXAMINER

**Attachment(s)**

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☐ Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)  
Paper No(s)/Mail Date \_\_\_\_\_.
- 4) ☐ Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_.
- 5) ☐ Notice of Informal Patent Application (PTO-152)
- 6) ☐ Other: \_\_\_\_\_.

## **DETAILED ACTION**

### ***Response to Arguments***

Applicant's arguments, see Pages 14-15, filed 2/15/2006, with respect to the rejection(s) of claim(s) 1-24 under 35 USC § 102 have been fully considered and are persuasive. Therefore, the rejection has been withdrawn. However, upon further consideration, a new ground(s) of rejection is made in view of U.S. Patent 5,309,516 to Takaragi et al.(hereinafter Takaragi).

### ***Claim Objections***

Claim 5 is objected to because of the following informalities: on the 3<sup>rd</sup> bullet, the applicant mention "unique base", the Examiner believes "unique base key" should be recited. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 112***

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claim 3 recites the limitation "base key", but the Examiner believes it should be "unique base key", otherwise it a narrow limitation(Claim 1) followed by an broad limitation. There is insufficient antecedent basis for this limitation in the claim.

***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 1-8, 21, 24 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent 5,309,516 to Takaragi et al.(hereinafter Takaragi).

Regarding Claim 1, Takaragi discloses the a data processing device for generating a unique key where an device includes means for combining group key with unique identifier received from token see Col 3 Ln 3-33; token having data storage for storing the unique key see Col 8 Ln 41-50 & Fig. 1 item 104 and cryptographic means for using unique key see Fig. 1 item 106 & Fig. 7 item 702.

Regarding Claim 2, 7, Takaragi discloses the exclusive OR operator see Col 11 Ln 45-55.

Regarding Claim 3, Takaragi discloses performing an hash function of the identifier and the group key see Col 8 Ln 61-Col 9 Ln 7.

Regarding Claim 4, 6, Takaragi discloses the digesting of unique identifier before operation see Fig. 4 & Col 8 Ln 51-60.

Regarding Claim 5, Takaragi discloses the generating a group key see Col 11 Ln 45-55; receiving a unique identifier from the first token and performing a operation with group key and unique identifier see Col 3 Ln 3-33; storing the generated unique key see Fig. 1 item 104; repeating for second token see Col 4 Ln 11-17 & Col 10 Ln 6-19.

Regarding Claim 8, Takaragi discloses the first token having a first unique identifier, an unique key that is a function of identifier and group key see Col 3 Ln 3-33, cryptographic means see Fig. 7 item 702, a memory means see Fig. 7 item 104; a second token having second unique identifier, an unique key that is a function of identifier and group key see Col 3 Ln 3-33 & Col 5 Ln 24-57; communication means for exchanging data between tokens see Col 6 Ln 45-52; first token having a first operator for processing first unique key and second identifier to produce an first composite key see Col 8 Ln 25-40 & Fig. 10 item 1005; a second token having a second operator for processing second unique key and first identifier to produce second composite key see Col 8 Ln 25-40 & Fig. 10 item 1003; first and second composite keys being equal see Col 9 Ln 58-Col 10 Ln 19 & Col 6 Ln 53-57.

Regarding Claim 21, Takaragi discloses the cipher function used by IC cards using the same key for encryption and decryption(symmetrical cryptographic algorithm) see Col 3 Ln 3-32.

Regarding Claim 24, Takaragi discloses the program storage device performing the method step recited in Claim 5 see Fig. 2 item 202 & Fig. 1 item 108-114.

***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 9-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S.

Patent 5,309,516 to Takaragi et al.(hereinafter Takaragi) in view of U.S. Patent 5,694,471 to Chen et al.(hereinafter Chen).

Regarding Claim 9, 10, Takaragi discloses the second identifier(destination indicator) being processed by an first logic operator see Col 8 Ln 41-65 & Fig. 10 item 1011, first identifier(destination indicator) being processed by an second operator see Col 8 Ln 41-65 & Fig. 10 item 1009, but does not disclose a message digest function for digesting as it is commonly known in the art. However, Chen discloses the digesting of user identifier using MD5 see Col 7 Ln 58-67. It would be obvious to one having ordinary skill in the art at the time of the invention to include message digest of identifier(MD5) in the invention of Takaragi in order to obtain an shortened identifier for storage and processing(XOR) purposes as taught in Chen 4-21.

Art Unit: 2132

Regarding Claim 11 and 12, Takaragi discloses the second/first identifiers(destination identifiers) being processed see Col 8 Ln 25- 60 and further XORing of keys see Col 11 Ln 45-55, but does not disclose the XORing of keys and digest. However, Chen discloses the XORing of keys(unique identifiers) and digest to produce an composite key see Col 8 Ln 1-8 and storing of the result see Fig. 2 item 150 & Fig. 1 item 2. It would be obvious to one having ordinary skill in the art at the time of the invention to include the XORing of keys and digest in the invention of Takaragi in order to have a secure result for encryption as taught in Chen see Col 8 Ln 9-21.

Claims 13-20, 22 are rejected under 35 U.S.C. 103(a) as being unpatentable over U.S. Patent 5,309,516 to Takaragi et al.(hereinafter Takaragi) in view of U.S. Patent 5,694,471 to Chen et al.(hereinafter Chen). as applied to claim 12 above, and further in view of U.S. Patent 6,067,621 to Yu et al.(hereinafter Yu).

Regarding Claim 13 and 14, Takaragi discloses the first and second destination indicator having an random value see Fig. 4 item 405 & Col 7 Ln 52-53 and storing of random number see Col 10 Ln 35-38 to produce an cryptograms see Fig. 3 item 306, but does not disclose the use of composite key. However, Yu discloses the random number (see Col 7 Ln 12-20 & Col 8 Ln 1-9) and the composite key to produce an cryptograms see Fig. 6 item 620-630 & Fig. 2 item 200-210. It would be obvious to one having ordinary skill in the art at the time of the invention to include the random number

Art Unit: 2132

and the composite key to produce an cryptograms in the invention of Takaragi in order to produce secure message communications as taught in Yu see Col 11 Ln 44-58.

Regarding Claim 15 and 16, Takaragi discloses the decipher of random number from the cryptogram and keys see Col 2 Ln 16-45(random number from the destination indicators) & Col 8 Ln 30-40.

Regarding Claim 17 -20, Takaragi does not discloses the comparing of random numbers and it being used for authentication. However, Yu discloses the comparing of random number and it being used for authentication see Fig. 7 item 730, 770 & Col 7 Ln 36-46 & Col 11 Ln 12-58 & Col 8 Ln 10-16. It would be obvious to one having ordinary skill in the art at the time of the invention to include the comparing of random number and it being used for authentication in the invention of Takaragi in order to produce authentication as taught in Yu Col 12 Ln 11- 25.

Regarding Claim 22, The Examiner advises the Applicant to consult the table for appropriate rejections.

Part as Recited in Claim 22	See Corresponding Claim(s)/Rejection
a) sending a first ...	Claim 8
b) sending a second ...	Claim 8 and 9-10
c) digesting said first identifier...	Claim 9,10
d) performing an exclusive OR...second	Claim 11, 12



security token...	
e) performing an exclusive OR...first security token...	Claim 11, 12
f) generating a first random number...	Claim 13, 14
g) generating a second random number...	Claim 13, 14
h) sending said first...	Claim 13, 14
i) sending said second...	Claim 13, 14
j) receiving and decrypting said first ...	Claim 15, 16
k) receiving and decrypting said second	Claim 15, 16
l) sending said first ...	Claim 15, 16
m) sending said second...	Claim 15, 16
n) receiving said first...	Claim 17-20
o) receiving said second...	Claim 17-20
p) authenticating said second ...	Claim 17-20
q) authenticating said first...	Claim 17-20

Regarding Claim 23, Takaragi discloses the cipher function used by IC cards using the same key for encryption and decryption (symmetric cryptographic algorithm) see Col 3 Ln 3-32.

### ***Conclusion***

Art Unit: 2132

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.


EP 0588339 A2 to Nippon Telegraph and Telephone Company

U.S. Patent 5,602,918 to Chen et al.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Venkatanarayanan Perungavoor whose telephone number is 571-272-7213. The examiner can normally be reached on 8-4:30. If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on 571-272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

VP  
4/4/2006

  
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